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V.F.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant : Martin P. Atkins et al.  
Serial No. : 08/687,811  
Filed : July 26, 1996  
Title : ESTER SYNTHESIS  
Group Art Unit : 1505 1204  
Examiner : Unknown Shippen  
Attorney Docket : BPE 2 064

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Assistant Commissioner For Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

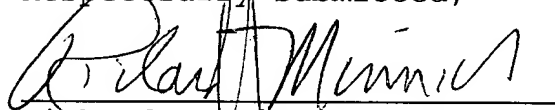
Dear Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97, 1.98, and MPEP § 609, Applicants submit herewith the following Information Disclosure Statement concerning art of which the Applicants are aware. The documents are as follows:

1. **European Search Report** (Dated October 24, 1996) citing:
  - **European Application No. 538,826** (Mitsui Toatsu Chemicals, Inc.) (April, 1993).
  - **European Application No. 562,139** (Showa Denko Kabushiki Kaisha) (September, 1993).

Applicants also submit herewith a "Certification For Information Disclosure Statement" as required by 37 C.F.R. §1.97(e).

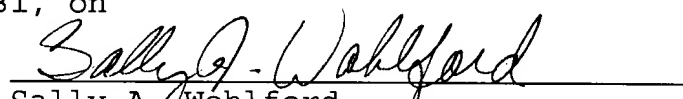
Respectfully submitted,

  
Richard J. Minnich, Esq.  
Reg. No. 24,175

FAY, SHARPE, BEALL, FAGAN,  
MINNICH & MCKEE  
1100 Superior Avenue; Suite 700  
Cleveland, Ohio 44114-2518  
(216) 861-5582

CERTIFICATE OF MAILING

I hereby certify that this Information Disclosure Statement is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231, on  
November 22, 1996.

  
Sally A. Wohlford

  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Martin P. Atkins et al.  
Serial No. : 08/687,811  
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Group Art Unit : 1505  
Attorney Docket : BPE 2 064

Assistant Commissioner For Patents  
Washington, D.C. 20231

CERTIFICATION FOR INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.197(e)

Dear Sir:

1. This certification is being made for the Information Disclosure Statement

☒ accompanying this certification  
☐ filed on \_\_\_\_\_

2. I, the person(s) signing below certify  
(check appropriate item)

☒ that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application no more than three (3) months prior to the filing of the Statement. 37 C.F.R. §1.97(e) (1).

OR

☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three (3) months prior to the filing of the statement. 37 C.F.R. §1.97(e) (2).

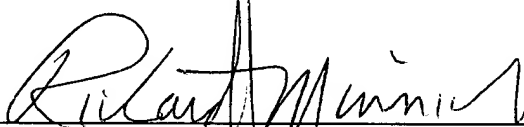
3. The person making this certification is  
(check each applicable item (a) and/or (b))  
(a) ☐ the inventor(s) who signs below

\_\_\_\_\_  
Signature of Inventor

\_\_\_\_\_  
(Type name of inventor signing)

- (b) ☐ a person who is substantively involved in the preparation or prosecution of the application, and who is associated with the inventor, with the assignee, or with anyone to whom there is an obligation to assign the application (37 C.F.R. §1.56(c)) and who signs below.
- (c) ☒ the attorney who signs below on the basis of the information:  
(check each applicable item)  
☒ supplied by the inventor(s).  
☐ supplied by an individual designated in §1.56(c).  
☐ in the attorney's file.

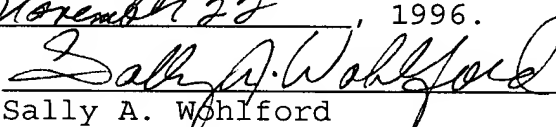
Respectfully submitted,

  
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\_\_\_\_\_  
Sally A. Wohlford